

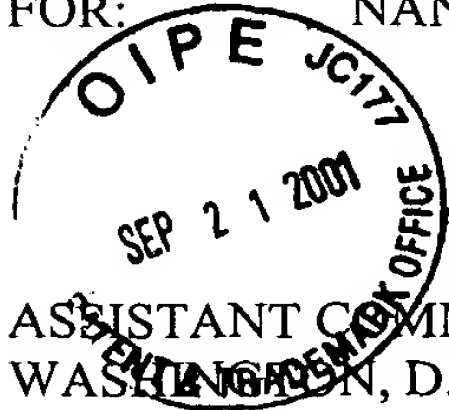
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Florence L'ALLORET et al

SERIAL NO: 09/903,768

FILED: July 13, 2001

FOR: NANOEMULSION CONTAINING NONIONIC POLYMERS, AND ITS USES



**INFORMATION DISCLOSURE STATEMENT/  
RELATED CASE STATEMENT UNDER 37 C.F.R. §1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish(es) to disclose the following information.

**REFERENCES**

- ☒ The Applicant(s) wish(es) to make of record the references listed on the attached Form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 C.F.R. §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of Applicant's/Applicants' pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with Form PTO-1449.
- ☐ A check is attached in the amount required under 37 C.F.R. §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

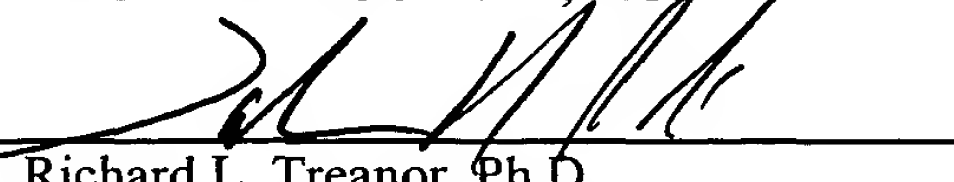


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Docket No.: 210578US0

Serial No.: 09/903,768

Applicant(s): Florence L'ALLORET et al

Filing Date: July 13, 2001

For: NANOEMULSION CONTAINING NONIONIC POLYMERS, AND ITS USES

**STATEMENT OF RELEVANCY**

**Reference AN (EP 0 968 704 A1) on Form 1449:**

This reference is cited on the attached French Search Report.

**Reference AO (FR 2 787 026) on Form 1449:**

This reference is discussed on page 2, line 4 through 5 of the specification.

**Reference AP (FR 2 787 027) on Form 1449:**

This reference is discussed on page 2, line 5 of the specification.

**Reference AQ (FR 2 787 325) on Form 1449:**

This reference is discussed on page 2, line 5 of the specification.

**Reference AR (FR 2 787 703) on Form 1449:**

This reference is discussed on page 2, line 5 of the specification.

**Reference AS (FR 2 787 728) on Form 1449:**

This reference is discussed on page 2, line 5 through 6 of the specification.

**Reference AT (GB 131,820) on Form 1449:**

This reference is discussed on page 21, line 2 of the specification.

**Reference AU (WO 94/00508) on Form 1449:**

This reference is discussed on page 21, line 2 of the specification.

**Reference AV (WO 00/61083) on Form 1449:**

This reference is cited on the attached French Search Report.



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**STATEMENT OF RELEVANCY**

**Reference AA (US 4,137,180) on Form 1449:**

This reference is discussed on page 29, line 21 of the specification.

**Reference AB (US 4,874,554) on Form 1449:**

This reference is discussed on page 29, line 21 of the specification.

**Reference AC (US 5,364,633) on Form 1449:**

This reference is discussed on page 12, line 28 of the specification.

**Reference AD (US 5,411,744) on Form 1449:**

This reference is discussed on page 12, line 28-29 of the specification.

**Reference AH (DE 196 41 672 A1) on Form 1449:**

This reference is cited on the attached French Search Report.

**Reference AI (DE 198 16 662 A1) on Form 1449:**

This reference is cited on the attached French Search Report.

**Reference AJ (EP 0 107 199 A2) on Form 1449:**

This reference is discussed on page 21, line 2 of the specification.

**Reference AK (EP 0 696 452 A1) on Form 1449:**

This reference is cited on the attached French Search Report.

**Reference AL (EP 0 728 460 A1) on Form 1449:**

This reference is cited on the attached French Search Report and also on page 2, line 3 of the specification.

**Reference AM (EP 0 780 114 A1) on Form 1449:**

This reference is discussed on page 2, line 3 of the specification.

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 210578US0		SERIAL NO. 09/903,768	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Florence L'ALLORET et al			
				FILING DATE July 13, 2001			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,137,180	01/30/79	NAIK et al			
	AB	4,874,554	10/17/89	LANGE et al			
	AC	5,364,633	11/15/94	HILL et al			
	AD	5,411,744	05/02/95	HILL et al			
	AE						
	AF						
	AG						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AH	DE 196 41 672 A1 ✓	04/16/98	GERMANY			XXX
	AI	DE 198 16 662 A1 ✓	10/21/99	GERMANY			XXX
	AJ	EP 0 107 199 A2 ✓	05/02/84	EUROPE			
	AK	EP 0 696 452 A1 ✓	02/14/96	EUROPE			
	AL	EP 0 728 460 A1 ✓	08/28/96	EUROPE			XXX
	AM	EP 0 780 114 A1 ✓	06/25/97	EUROPE			XXX
	AN	EP 0 968 704 A1 ✓	01/05/00	EUROPE			XXX
	AQ	2 787 026 ✓	06/16/00	FRANCE			XXX
	AP	2 787 027 ✓	06/16/00	FRANCE			XXX
	AQ	2 787 325 ✓	06/23/00	FRANCE			XXX
	AR	2 787 703 ✓	06/30/00	FRANCE			XXX
	AS	2 787 728 ✓	06/30/00	FRANCE			XXX
	AT	131,820 ✓	09/04/19	GREAT BRITAIN			
	AU	WO 94/00508 ✓	01/06/94	WIPO			
	AV	WO 00/61083 ✓	10/19/00	WIPO			
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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